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20999 7590 10/05/2004  
**FROMMER LAWRENCE & HAUG**  
745 FIFTH AVENUE- 10TH FL.  
NEW YORK, NY 10151

OCT 27 2004

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

11/01/2004 ZJUHR2 00000024 09931707

01 FC:1501 1370.00 OP  
02 FC:1504 300.00 OP  
03 FC:8001 9.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/931,707	08/16/2001	Manabu Obata	450100-03416	3460

**TITLE OF INVENTION:** DISK CARTRIDGE, A METHOD OF MANUFACTURING SAME, AND A RECORDING/REPRODUCING SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$300	\$1670	01/05/2005

  

EXAMINER	ART UNIT	CLASS-SUBCLASS
VUONG, BACH Q	2653	369-052100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

**FROMMER LAWRENCE &  
1 HAUG LLP**  
**2 WILLIAM S. FROMMER**  
**3**

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**SONY CORPORATION**

**TOKYO, JAPAN**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 3

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-0320 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*William S. Frommer by Dennis M. Smith*

Date October 28, 2004

Typed or printed name

**WILLIAM S. FROMMER**

*DENNIS M. SMITH*  
*Reg. No. 34,930*

Registration No.

25,506

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**CERTIFICATE OF MAILING**

		<b><u>Issue Fee Due</u></b>	<b><u>Publication Fee</u></b>
✓ Serial No.	: 10/260,798	Nov. 2, 2004	\$300.00
Attorney Docket	: 450100-04199		
First Named Inventor	: Masao Katsumata		
✓ Serial No.	: 09/840,418	Jan. 4, 2005	\$300.00
Attorney Docket	: 450100-03173		
First Named Inventor	: Tetsujiro Kondo		
✓ Serial No.	: 10/181,500	Jan 5, 2005	\$300.00
Attorney Docket	: 450100-03625		
First Named Inventor	: Yoshihiro Kuroki		
✓ Serial No.	: 09/931,707	Jan. 5, 2005	\$300.00
Attorney Docket	: 450100-03416		
First Named Inventor	: Manabu Obata		
✓ Serial No.	: 09/948,400	Jan. 5, 2005	\$300.00
Attorney Docket	: 450100-03454		
First Named Inventor	: Tsuneo Hayashi		
✓ Serial No.	: 09/834,812	Jan. 6, 2005	\$300.00
Attorney Docket	: 450100-03161		
First Named Inventor	: Shuichi Kikuchi		
✓ Serial No.	: 10/443,912	Jan. 14, 2005	\$300.00
Attorney Docket	: 450100-03359.1		
First Named Inventor	: Naoki Inoue		

I hereby certify that the issue fee payments for the above-referenced applications are deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on October 28, 2004 via "Express Mail #EV467847045US and addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Barnet Shindler

(Typed name of person mailing paper or fee)

[Signature]

Signature

10/28/04

Date